



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/541,775	03/10/2006	Aarto Paren	0696-0219PUS1	1488
2292 7590 07/08/2008 BIRCH STEWART KOLASCH & BIRCH PO BOX 747 FALLS CHURCH, VA 22040-0747				
EXAMINER CALANDRA, ANTHONY J				
ART UNIT		PAPER NUMBER		
1791				
NOTIFICATION DATE		DELIVERY MODE		
07/08/2008		ELECTRONIC		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mailroom@bskb.com

# Office Action Summary

## Application No.

10/541,775

## Applicant(s)

PAREN ET AL.

## Examiner

ANTHONY J. CALANDRA

## Art Unit

1791

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 8 July 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 13-30, 32 and 33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 13-30, 32, 33 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-85/86)  
Paper No(s)/Mail Date 07/08/2005
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

***Detailed Office Action***

1. The communication dated 7/08/2005 has been entered and fully considered.
2. Claims 1-30, 32-33 are currently pending. There is no claim 31 in the claims listing.

***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 13, 16, 18-26, 29-30, and 32-33 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over U.S. Patent 6,120,556 NISHINO et al., hereinafter NISHINO.

As for claim 13 and 16, NISHINO discloses a method of bleaching pulp with peroxide in an alkaline medium [column 10 lines 5-20].

NISHINO discloses a polymer solution with both an alpha-hydroxyacrylic acid polymer and a second polymer including polyacrylic acid, poly methacrylic acid, polymaleic acid and the copolymers of the above mentioned acids which is applied to fiber solutions (*a polymer solution containing a first polymer (A) comprising a homopolymer of acrylic acid, methacrylic acid or maleic acid, or a copolymer of acrylic acid and/or methacrylic acid with an unsaturated dicarboxylic acid, and a second polymer (B) comprising a poly- $\alpha$ -hydroxyacrylic acid or a salt thereof, said polymer solution having a pH of at most 7, is added to a cellulosic fibre material* [column 5 lines 25-38, 55-68 and column 6 lines 1-20]).

NISHINO teaches that subsequent to the pretreatment process the pulp is then bleached with peroxide (*thereafter adding a peroxide compound and an alkaline substance and carrying out the bleaching* [column 7 lines 19-20]).

NISHINO teaches that the polymer solution can range from a pH of 6 to 11 [column 7 lines 40-43] which overlaps with the instant claimed range of at most 7 or at most 6 with sufficient specificity. NISHINO further gives the more specific range of preferably 6 to 8 [column 8 lines 32-34]. Alternatively, at the time of the invention it would have been well with the capability of a person of ordinary skill in the art to optimize the pH of the treatment to at most 7 or at most 6. The pH of the solution is a clear result effective variable.

As for claims 18-20, NISHINO discloses that the polymer solutions include acidic polymers which means that the pH's are at least less than 7 [column 6 lines 5-20]. NISHINO does not disclose the pH of the raw polymer in the specification. However, since the raw polymers are substantially the same (composed of homopolymerization or copolymerization of the same base units), it is the examiners position that without evidence to the contrary that the same raw materials would have the same initial pH.

As for claims 21-23, NISHINO discloses one specific copolymer, 'copolymer 5' with an average MW of 50,000 with is one specific point in the instant claimed ranges [Table 1 Notes Copolymer 5].

As for claims 24-26, NISHINO discloses that the alpha-hydroxyacrylic acid has an average MW of 3,000-100,000 which overlaps with the instant claimed ranges with sufficient specificity.

As for claim 29, NISHINO discloses the poly-alpha-hydroxyacrylic acid polymer to be in a 1:2 ratio (33%) with the polyacrylate polymer and therefore falls within the instant claimed range [Table 1 example 2].

As for claim 30 and 32, NISHINO discloses that the pretreatment chemical is preferably supplied from 0.01 to 5% by weight of dry fiber, wherein the polymers comprise about 60% [column 9 lines 24-25 and Table 1]. This is equivalent to .006 to 3 kg per ton pretreatment chemical which overlaps with the instant claimed range with sufficient specificity.

As for claim 33, NISHINO discloses that the fibers that are to be bleached can be chemical (kraft/sulfite), mechanical, semichemical, or waste-pulp fibers which the examiner has interpreted as fibers that have been deinked [column 8 lines 66-67 and column 9 lines 1-4].

### *Claim Rejections - 35 USC § 103*

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

7. Claims 14-15 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 6,120,556 NISHINO et al., hereinafter NISHINO in view of U.S. Patent # 4,238,282 HYDE, hereinafter HYDE.

As for claim 14, NISHINO discloses using a nitrogen containing chelants such as DTPA and TTHA are added to optimize stability. NISHINO discloses other examples wherein DTPA and TTHA are not added, however these show lower peroxide stability [Table 1 comparative example 2]. HYDE discloses non nitrogen containing chelants such as the phosphonates which do not contain nitrogen [column 2 lines 15-65]. HYDE states that these compounds are useful for removing iron and manganese [column 1 lines 63-65]. At the time of the invention it would be obvious to use the phosphonate chelant of HYDE in the pretreatment of NISHINO. It is *prima facie* obvious to substitute one known chelant for another known chelant. A person of ordinary skill in the art would have expected both chelants to remove undesirable metals and prevent peroxide decomposition.

As for claim 15, NISHINO states that the use of additional magnesium is optional and as such discloses a method for treating pulp without said alkaline earth metals [column 7, lines 59-62].

As for claim 17, NISHINO discloses the pretreatment pH range of 6 to 11 [column 9, lines 43-45]. The teaching of an acidic pH of 6 would give an artisan a reasonable expectation of success at an acidic pH of 5. At the time of the invention it would have been *prima facie* obvious to a person of ordinary skill in the art to optimize the pH of the treating absence evidence of unexpected results at a pH of 5 compared to the disclosed pH of 6.

Alternatively, should a person of ordinary skill in the art have substituted the chelant of HYDE for the chelant of NISHINO a pH of 2-6 would have been desirable for treatment of the pulp [column 4, lines 5-9]. A person of ordinary skill in the art would be motivated to use such a pH as these pHs are where said chelating agents are most effective [column 4 line 10].

8. Claims 27 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 6,120,556 NISHINO et al., hereinafter NISHINO in view of U.S. Patent 6,444,771 YAMAGUCHI et al., hereinafter YAMAGUCHI.

As for claims 27 and 28 NISHINO discloses that a polymer containing the copolymers of maleic acid and acrylic acid is used for preserving peroxide stability [column 6 lines 5-20]. NISHINO does not disclose a copolymer with the range of maleic acid as the instant claimed ranges. YAMGUCHI discloses an acrylic/maleic acid copolymer with a polymer ratio of 40:60 to 60:40 [column 6 lines 22-32]. At the time of the invention it would have been obvious to substitute the polymer of YAMAGUCHI for the polymer of NISHINO. It is prima facie obvious to substitute one known polymer for another known polymer intended for the same use. A person of ordinary skill in the art would have a reasonable expectation of success for using the polymer of YAMGUCHI as NISHINO stated that copolymers of malice/acrylic acid could be used. Further, YAMGUCHI passes the TSM test as it suggests that the disclosed polymer also prevents scaling and has high metal ion scavengability [column 1 lines 10-15].

***Conclusion***

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANTHONY J. CALANDRA whose telephone number is (571) 270-5124. The examiner can normally be reached on Monday through Thursday, 7:30 AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Steven Griffin can be reached on (571) 272-1189. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AJC

/Eric Hug/  
Primary Examiner, AU 1791